addressed to:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

William R. Nolan III

Title:

SYSTEM AND METHOD FOR

PROCESSING DATA

PERTAINING TO FINANCIAL

ASSETS

Appl. No.:

To be determined

Filing Date:

12/17/03

Examiner:

To be determined

Art Unit:

To be determined

Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450. EL 979077269 US 12/17/03 (Express Mail Label Number) (Date of Deposit) Roberta A. Cooper (Printed Name)

CERTIFICATE OF EXPRESS MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post

UTILITY PATENT APPLICATION TRANSMITTAL

Mail Stop PATENT APPLICATION Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

> William R. Nolan III 18308 Dundonnell Way Olney, Maryland 20832

Enclosed are:

- Specification, Claim(s), and Abstract (52 pages). [X]
- Formal drawings (9 sheets, Figures 1, 2, 3A, 3B, 4, 5, 6, 7 and 8). [X]
- Request for application not to be published with certification under 35 USC [X] 122(b)(2)(B)(i) (1 page).
- Application Data Sheet (37 CFR 1.76) (3 pages). [X]

The filing fee is calculated below:

	Claims		Included		Extra		Rate		Fee
	as Filed		in		Claims				Totals
]	Basic Fee						
Basic Fee							\$770.00	=	\$770.00
Total	32	-	20	=	12	x	\$18.00	=	\$216.00
Claims:					÷				
Independents	5	-	3	=	2	X	\$86.00	=	\$172.00
:									* *
If any Multiple Dependent Claim(s) present:						+	\$290.00	=	\$0.00
Surcharge under 37 CFR 1.16(e) for late filing of						+	\$130.00	_	\$130.00
Executed Dec	laration							_	
							SUBTOTAL:	=	\$1288.00
ر ً ا	Small Entity Fees Apply (subtract ½ of above):							=	\$0.00
. ,			•		'		L FILING FEE:	=	\$1,288.00

- [X] A check in the amount of \$1,288.00 to cover the filing fee is enclosed.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 12/17/03

FOLEY & LARDNER

Customer Number: 34099

Telephone: Facsimile:

(414) 297-5684

(414) 297-4900

Ву ____

Michael S. Brayer

Attorney for Applicant

Registration No. 51,495

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Applicant:

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Applicant hereby certifies that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. Applicant hereby requests that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

Date 12/17/03

FOLEY & LARDNER

Customer Number: 34099

Telephone:

(414) 297-5684

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(414) 297-4900

By Mel by

Michael S. Brayer Attorney for Applicant Registration No. 51,495

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TRANSMITTAL OF FORMAL DRAWINGS

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

ATTENTION: DRAWING REVIEW BRANCH

Sir:

Transmitted herewith are the formal drawings (9 sheets, Figures 1, 2, 3A, 3B, 4, 5, 6, 7 and 8) for the above-identified application. The Official Draftsperson is respectfully requested to approve these drawings for entry into the application.

Respectfully submitted,

Date 12/17/03

By Mal 6 Py

FOLEY & LARDNER

Customer Number: 34099

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(414) 297-5684

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Michael S. Brayer Attorney for Applicant Registration No. 51,495